

PATENT  
Our Docket: P-EA 4854

# 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Glen A. Evans

Serial No.: 09/922,221

Filed: August 2, 2001

For: METHOD FOR ASSEMBLY OF A  
POLYNUCLEOTIDE ENCODING A  
TARGET POLYPEPTIDE

United States Patent and  
Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

)  
) Examiner: Not yet assigned  
)

) Group Art Unit: 1643  
)

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) By Astrid R. Spain  
Astrid R. Spain, Reg. No. 47,956

October 18, 2001

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Sir:

**COMMUNICATION REGARDING SEQUENCE LISTING**

New pages 1 through 3 are submitted herewith containing Sequences 1 through 11, formatted in accordance with the conventions set forth in 37 C.F.R. § 1.823. No new matter is introduced by these new pages as they merely represent the sequences originally set forth in the application. Accordingly, entry of these new pages is respectfully requested.

No fee is deemed necessary in connection with the filing of this Preliminary Amendment. However, if any fee is required, authorization is given to charge the amount of this fee to Deposit Account No. 03-0370.

Respectfully submitted,

May 28, 2002

Date

Astrid R. Spain

Astrid R. Spain

Registration No. 47,956

Telephone: (858) 535-9001

Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601



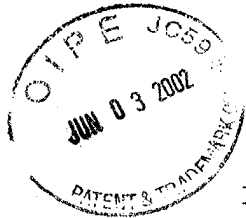
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PAGES 1 THROUGH 3  
Attorney Docket No.: P-EA 4854  
Serial No.: 09/922,221

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May 28, 2002

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Sir:

TRANSMITTAL

Responsive to the NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR  
AMINO ACID SEQUENCE DISCLOSURES mailed March 29, 2002, the  
following are provided:

- X (1) a copy of the NOTICE;
- X (2) a copy of the sequence listing in computer  
readable form;
- X (3) a Communication Regarding Sequence Listing;
- X (4) paper copy of sequence listing, pages 1  
through 3;
- X (5) a statement under 37 CFR §1.821(f) and (g);

Please charge any additional fees or credit any  
overpayment to Deposit Account No. 03-0370. A duplicate copy of  
this sheet is enclosed.

Respectfully submitted,

Date: May 28, 2002

Astrid R. Spain

Astrid R. Spain

Registration No. 47,956

Telephone: (858) 535-9001

Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,221	08/02/2001	Glen A. Evans	P-EA 4854

CONFIRMATION NO. 8915

## FORMALITIES LETTER



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CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

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Date Mailed: 03/29/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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